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# FEET TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 130.00)

## Complete if Known

|                        |                        |
|------------------------|------------------------|
| Application Number     | 09/806,727             |
| Filing Date            | 03/30/01               |
| First Named Inventor   | Gunter Schmidt, et al. |
| Examiner Name          |                        |
| Group Art Unit         |                        |
| Attorney Docket Number | 3400P008               |

## METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number **02-2666**Deposit Account Name **Blakely, Sokoloff, Taylor & Zafman LLP** Charge Any Additional Fee Required Under 37CFR 1.16 and 1.17 Applicant claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed:

Check  Money Order  Other

## FEE CALCULATION

## 1. FILING FEE

## Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description        | Fee Paid |
|----------|----------|----------|----------|------------------------|----------|
| 101      | 710      | 201      | 355      | Utility filing fee     |          |
| 106      | 320      | 206      | 160      | Design filing fee      |          |
| 107      | 490      | 207      | 245      | Plant filing fee       |          |
| 108      | 710      | 208      | 355      | Reissue filing fee     |          |
| 114      | 150      | 214      | 75       | Provisional filing fee |          |

SUBTOTAL (1) (\$)

## 2. CLAIMS

| Total Claims | Independent Claims | Extra | Fee from below | Fee Paid |
|--------------|--------------------|-------|----------------|----------|
| 34           | 1                  |       |                |          |
|              |                    |       |                |          |

## Multiple Dependent Claims

## Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description  |
|----------|----------|----------|----------|--|
| 103      | 18       | 203      | 9        | Claims in excess of 20                                       |
| 102      | 80       | 202      | 40       | Independent claims in excess of 3                            |
| 104      | 270      | 204      | 135      | Multiple Dependent claim                                     |
| AT60N1   | 8000     | 20959    | 40306727 | Reissue independent claims over original patent<br>130.00 UP |
| 110      | 18       | 210      | 9        | Reissue claims in excess of 20 and over original patent      |

SUBTOTAL (2) (\$)

\* or number previously paid, if greater. For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEE

| Large Entity Fee Code     | Small Entity Fee Code | Fee (\$) | Fee Description   | Fee Paid |
|---------------------------|-----------------------|----------|---|----------|
| 105                       | 130                   | 205      | 65 Surcharge - late filing fee or oath  | 130      |
| 127                       | 50                    | 227      | 25 Surcharge - late provisional filing fee or cover sheet                     |          |
| 139                       | 130                   | 139      | 130 Non-English specification   |          |
| 147                       | 2,520                 | 147      | 2,520 For filing a request for ex parte reexamination                         |          |
| 112                       | 920                   | 112      | 920 Requesting publication of SIR prior to Examiner action                    |          |
| 113                       | 1,840                 | 113      | 1,840 Requesting publication of SIR after Examiner action                     |          |
| 115                       | 110                   | 215      | 55 Extension for response within first month                                  |          |
| 116                       | 390                   | 216      | 195 Extension for response within second month                                |          |
| 117                       | 890                   | 217      | 445 Extension for response within third month                                 |          |
| 118                       | 1,390                 | 218      | 695 Extension for response within fourth month                                |          |
| 128                       | 1,890                 | 228      | 945 Extension for response within fifth month                                 |          |
| 119                       | 310                   | 219      | 155 Notice of Appeal  |          |
| 120                       | 310                   | 220      | 155 Filing a brief in support of an appeal                                    |          |
| 121                       | 270                   | 221      | 135 Request for oral hearing  |          |
| 138                       | 1,510                 | 138      | 1,510 Petition to institute a public use proceeding                           |          |
| 140                       | 110                   | 240      | 55 Petition to revive - unavoidably   |          |
| 141                       | 1,240                 | 241      | 620 Petition to revive - unintentionally                                      |          |
| 142                       | 1,240                 | 242      | 620 Utility issue fee (or reissue)  |          |
| 143                       | 440                   | 243      | 220 Design issue fee  |          |
| 144                       | 600                   | 244      | 300 Plant issue fee   |          |
| 122                       | 130                   | 122      | 130 Petitions to the Commissioner   |          |
| 123                       | 50                    | 123      | 50 Petitions related to provisional applications                              |          |
| 126                       | 180                   | 126      | 180 Submission of Information Disclosure Stmt                                 |          |
| 581                       | 40                    | 581      | 40 Recording each patent assignment per property (times number of properties) |          |
| 146                       | 710                   | 246      | 355 Filing a submission after final rejection (37 CFR 1.129(a))               |          |
| 149                       | 710                   | 249      | 355 For each additional invention to be examined (37 CFR 1.129(b))            |          |
| 179                       | 710                   | 279      | 355 Request for Continued Examination (RCE)                                   |          |
| 169                       | 900                   | 169      | 900 Request for expedited examination of a design application                 |          |
| Other fee (specify) _____ |                       |          |   |          |

SUBTOTAL (3) (\$ 130.00)

\* Reduced by Basic Filing Fee Paid

## SUBMITTED BY

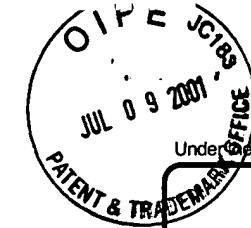
## Complete (if applicable)

|                       |                                |      |        |                                 |
|-----------------------|--------------------------------|------|--------|---------------------------------|
| Typed or Printed Name | Eric S. Hyman, Reg. No. 30,139 |      |        | Reg. Number                     |
| Signature             |                                | Date | 7/3/01 | Deposit Account User ID 02-2666 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

07/12/2000

01 FC:154



526 Pct'd PCT/PTO

09 JUL 2001

PTO/SB/21 (08/00)

Approved for use through 19/30/2000. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL FORM

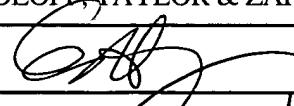
*(to be used for all correspondence after initial filing)*

|  |   |                        |                |
|--|---|------------------------|----------------|
|  |   | Application Number     | 09/806,727     |
|  |   | Filing Date            | March 30, 2001 |
|  |   | First Named Inventor   | Gunter Schmidt |
|  |   | Group Art Unit         |                |
|  |   | Examiner Name          |                |
| Total Number of Pages in This Submission | 7 | Attorney Docket Number | 3400P008       |

### ENCLOSURES (check all that apply)

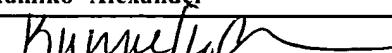
|   |  |  |
|---|--|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form                                      | <input type="checkbox"/> Assignment Papers<br><i>(for an Application)</i>                  | <input type="checkbox"/> After Allowance Communication<br>to Group   |
| <input type="checkbox"/> Fee Attached   | <input type="checkbox"/> Drawing(s)  | <input type="checkbox"/> Appeal Communication to Board<br>of Appeals and Interferences   |
| <input type="checkbox"/> Amendment / Response   | <input type="checkbox"/> Licensing-related Papers  | <input type="checkbox"/> Appeal Communication to Group<br><i>(Appeal Notice, Brief, Reply Brief)</i>   |
| <input type="checkbox"/> After Final  | <input type="checkbox"/> Petition  | <input type="checkbox"/> Proprietary Information   |
| <input type="checkbox"/> Affidavits/declaration(s)  | <input type="checkbox"/> Petition to Convert a<br>Provisional Application                  | <input type="checkbox"/> Status Letter   |
| <input type="checkbox"/> Extension of Time Request  | <input type="checkbox"/> Power of Attorney, Revocation<br>Change of Correspondence Address | <input checked="" type="checkbox"/> Other Enclosure(s)<br><i>(please identify below):</i>  |
| <input type="checkbox"/> Express Abandonment Request  | <input type="checkbox"/> Terminal Disclaimer   | <br><div style="border: 1px solid black; padding: 5px;">return postcard; copy of Notice;<br/>declaration and power of attorney<br/>(3 pages)</div> |
| <input type="checkbox"/> Information Disclosure Statement                                     | <input type="checkbox"/> Request for Refund  |  |
| <input type="checkbox"/> Certified Copy of Priority<br>Document(s)                            | <input type="checkbox"/> CD, Number of CD(s) _____   |  |
| <input type="checkbox"/> Response to Missing Parts/<br>Incomplete Application                 |  |  |
| <input checked="" type="checkbox"/> Response to Missing<br>Parts under 37 CFR<br>1.52 or 1.53 | Remarks  |  |

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

|                               |   |
|-------------------------------|---|
| Firm<br>or<br>Individual name | Eric S. Hyman, Reg. No. 30,139<br><br>BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN            |
| Signature                     |  |
| Date                          | 7/5/01  |

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 7/5/01

|                       |   |      |        |
|-----------------------|---|------|--------|
| Typed or printed name | Kumiko Alexander  |      |        |
| Signature             |  | Date | 7/5/01 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

GUNTER, SCHMIDT, ET AL.

Application No.: 09/806,727

Filed: March 30, 2001

For: **NTH ORDER FRACTAL NETWORK FOR  
HANDLING COMPLEX STRUCTURES**

Assistant Commissioner for Patents  
**Attention: Box Missing Parts**  
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

Sir:

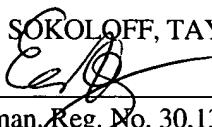
In response to the Notice to File Missing Parts of Application mailed May 4, 2001, please find enclosed:

- (1) a duly executed Declaration and Power of Attorney with respect to the above-referenced patent application;
- (2) a check in the amount of \$130.00 in payment of the surcharge of 37 CFR § 1.16(e); and
- (3) a copy of the Notification of Missing Requirements.

If any additional fee is required, please charge Deposit Account No. 02-2666. A duplicate of the Fee Transmittal is enclosed for deposit account charging purposes.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN

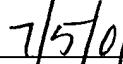
  
Eric S. Hyman, Reg. No. 30,139

Dated: 7/5/01

12400 Wilshire Blvd., 7th Floor  
Los Angeles, California 90025  
Telephone: (310) 207-3800

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Attention: Box Missing Parts, Washington, D.C. 20231 on: 7/5/01

  
Kumiko Alexander

  
7/5/01  
Date



# BEST AVAILABLE COPY

Commissioner for Patents, Box PCT  
United States Patent and Trademark Office  
Washington, D.C. 20231  
www.uspto.gov

U.S. APPLICATION NO.   FIRST NAMED APPLICANT   ATTY./DOCKET NO.  

09/806727

SCHMIDT

13 3400P808

INTERNATIONAL APPLICATION NO.  

PCT/EP99/07137

ERIC S. HYMAN  
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN  
12400 WILSHIRE BLVD.  
7TH FLOOR  
LOS ANGELES, CA 90020-3202

**RECEIVED**  
MAY 10 2001

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN  
LOS ANGELES

RECEIVED

NO DATE

PRIORITY DATE

05/21/99

07/10/2001

DATE MAILED:

05/10/2001

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as:
  - a Designated Office (37 CFR 1.494)  an Elected Office (37 CFR 1.495):
    - U.S. Basic National Fee.
    - Copy of the international application.
    - Oath or Declaration of inventors(s).
    - Copy of Article 19 amendments.
    - Priority Document.
    - The International Preliminary Examination Report in English and its Annexes, if any.
    - Translation of Annexes to the International Preliminary Examination Report into English.
  - Indication of Small Entity Status.
  - Translation of the international application into English.
  - Translation of Article 19 amendments into English.
  - Other:
2.  Applicant has requested early processing under 35 U.S.C. 371(i) but has not filed the following indicated items and/or the indicated items in paragraph 3 below. The Basic National Fee and the copy of the international application must be filed prior to 20 or 30 months from the priority date to avoid abandonment.
  - U.S. Basic National Fee.
  - Copy of the international application.
3. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:
  - a. Translation of the application into English. A processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
  - The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
  - b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
  - c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), properly identifying the application (preferably by the International application number and international filing date). A surcharge will be required if submitted later than the appropriate 20 or 30 months from the priority date.
  - The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
  - d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).
4. Additional claim fees of \$   as a  large entity  small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.
5.  Applicant has not submitted the required sequence listing pursuant to 37 CFR 1.821-1.825. See attached PCT/DO/EO/920.

**ALL OF THE ITEMS SET FORTH IN 3(a)-3(d), 4 AND 5 ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 22 OR 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

6. If box 3a or 3c is checked, a translation of the Annexes **MUST** be submitted no later than the time period set above or the Annexes will be cancelled. A processing fee will be required if submitted later than 20 or 30 months from the priority date.
7.  The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

*A copy of this notice **MUST** be returned with this response.*

Enclosed:  PCT/DO/EO/917  
 PTO-875

Notice of Defective Translation  
 PCT/DO/EO/920

Lamont Hunter, Paralegal

Telephone: 703 305-3686